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## Modern Slavery Act statement for the financial year ending 30 April 2016

This statement is made as a result of the implementation of the Modern Slavery Act 2015 (“MSA”).

LGT Vestra LLP (“LGT Vestra”, “we” or “our”) is a Limited Liability Partnership incorporated in England and Wales with registered number OC329392 and its registered office is at 14 Cornhill, London EC3V 3NR.

LGT Vestra is a professional services firm providing investment management services and financial advice to clients and is regulated by the Financial Conduct Authority (FCA). LGT Vestra employs over 200 people and has business operations in the United Kingdom and Jersey.

As part of our commitment to combating modern slavery, we have implemented the following policy: “The Modern Slavery Act 2015 and LGT Vestra’s Obligations relating thereto”. The management of LGT Vestra has given careful consideration to Section 54 “Transparency in Supply Chains” of the MSA and its implications for our procurement of services and goods.

Our review concluded that the services we provide and goods used are very unlikely to be affected by slavery considerations. The services that LGT Vestra provides to its clients are predominantly office-based and our supply chain largely consists of other regulated professions (banks, solicitors, accountants etc.) and we consider the slavery and human trafficking risk to be extremely low. Consequently, we have taken no specific action during the financial year 2015-2016 in relation to these elements of our supply chain.

Other services procured by or on behalf of LGT Vestra consist primarily of data services, office, recruitment and information technology resources. LGT Vestra reviews and vets any external contractors to ensure that they have the requisite accreditations and are appropriately qualified for the roles they are asked to undertake. Again, these services are based in the United Kingdom and Jersey and LGT Vestra considers them to be low risk in respect of slavery and human trafficking. Given this, we believe that our current procedures, coupled with our ability to rely on professional body and regulatory oversight in relation to professional services are sufficient in this regard.

In terms of goods supplied to LGT Vestra, the majority are goods for use in an office environment. Occasionally, LGT Vestra will be supplied with goods for use outside an office environment, for example, client gifts. All LGT Vestra personnel with purchasing responsibilities are required to adhere to the abovementioned policy when exercising their responsibilities. LGT Vestra will in future assess whether any additional measures are required in relation to each substantial acquisition of goods. During the financial year 2015-2016, we have taken no additional steps over and above our standard supplier approval process.

The acquisition of our business by LGT in early 2016 has added significant extra momentum to our espousal of ethical principles in our procurement policy. LGT is a leader in the field of integrating sustainability, the highest standards in occupational health and safety and the observation of human rights and labour law into all facets of its businesses, including its supply chain. We aspire to follow the lead of LGT in adhering to these principles and are taking active steps to do so as a matter of priority.

Planned Actions:

LGT Vestra is aiming to implement the following measures during the financial year 1 May 2016-31 December 2016:

- Mandatory attendance for all members of staff involved in procurement at a Modern Slavery training session.
- The staff handbook and induction training are being updated to incorporate material regarding Slavery and Human Trafficking. All members of staff will be required to confirm that they have read and understood the material.
- All of our suppliers are being asked to complete a questionnaire relating to their own supply chains, in order to obtain assurance of their compliance with the relevant slavery and human trafficking laws. Those who are unable to provide satisfactory responses shall have their contracts reviewed and, if necessary, terminated.
- All new suppliers are being asked to confirm that they comply with the MSA.

This statement has been approved by the managing board of LGT Vestra LLP.

Signed

A handwritten signature in black ink, consisting of a large, stylized 'D' followed by a series of loops and a final flourish.

David Scott  
Chairman

December 2016